

Please type a plus sign (+) inside this box ☐

PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Hettiarachchy et al.

Title

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 38]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner: _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☐ Correspondence address below

Name Angela Foster, Ph.D, Esq.

Address 2906 Birchwood Court

City North Brunswick

State

New Jersey

Zip Code

08902-3933

Country

USA

Telephone

732-821-9363

Fax

732-821-4692

Name (Print/Type)

Angela Foster

Registration No. (Attorney/Agent)

48,494

Signature

Date

September 8, 200

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

19704 U.S. PTO
10/657692
09/08/03

Express Mail No.: **EU 542 711 005 US**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Hettiarachchy et al.

Serial No.: To be Assign

Art Unit: To be Assign

Filed: September 8, 2003

Examiner: To be Assign.

For: Organic Acids Incorporated
Edible Antimicrobial Films

Attorney Docket No.: UAF-I02-21

FEE TRANSMITTAL SHEET

Mail Stop New Applications
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

The above-identified application is being filed as an application with the United States Patent. The filing fee is calculated below as **\$1202.00**. Please charge the required fee to the University of Arkansas Deposit Account No. 50-1573. A copy of this sheet is enclosed for accounting purposes.

Claims	Filed	Extra	Rate	Calculation
Total Claims	73 - 20	53	x \$18 (\$9) =	477
Independent Claims	8 - 3	5	x \$84 (\$42) =	210
Multiple Dependent	1-0	1	+\$140 =	140
		Basic Fee (small entity)		375
		Total		\$1202

Respectfully submitted,

Date:

9/08/03

Angela Foster, Ph.D.

48,494
(Reg. No.)

ANGELA FOSTER, PH.D.
ATTORNEY AT LAW
2906 BIRCHWOOD COURT
NORTH BRUNSWICK, NEW JERSEY 08902
732-821-9363

Express Mail No.: EU 542 712 005 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Hettiarachchy et al.

Serial No.: To be Assign

Art Unit: To be Assign

Filed: September 8, 2003

Examiner: To be Assign.

For: Organic Acids Incorporated
Edible Antimicrobial Films

Attorney Docket No.: UAF-I02-21

EXPRESS MAIL CERTIFICATE

Mail Stop New Applications
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

I certify that the following documents identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and are addressed to the Commissioner for Patents, Attn: Mail Stop New Applications, P.O. Box 1450, Alexandria, Virginia, 22313-1450:

Type of Document(s):

Specification (38 pages)
Drawings (7 Figures)
Fee Sheet
Transmittal Sheet
Declaration (unexecuted)
Power of Attorney (unexecuted)
Return Postcard

Respectfully submitted,

Date:

9/8/03


Angela Foster, Ph.D.

48,494
(Reg. No.)

ANGELA FOSTER, PH.D.
ATTORNEY AT LAW
2906 BIRCHWOOD COURT
NORTH BRUNSWICK, NEW JERSEY 08902
732-821-9363